### **PATENTS**

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re applica	ation of:		) .	
Ech	auz, et al.		)	
Serial No:	10/662,072		)	
Filed:	09/12/2003	,	)	
	fied Probabilistic F sets in the Brain and		for Predicting and Detrapeutic Device	tecting Seizure

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant hereby voluntarily discloses the reference listed on the attached Form PTO-1449 to the Assistant Commissioner for Patents. References A - AL (25 references) were cited in a prior application relied upon by the above-identified application for an earlier filing date. The prior application relied upon is Application Serial No. 09/693,423, filed on October 20, 2000. It is specified in 37 CFR 1.98(d):

"A copy of any patent, publication, pending U.S. application or other information, as specified in paragraph (a) of this section, listed in an information disclosure statement is required to be provided, even if the patent, publication, pending U.S. application or other information was previously submitted to, or cited by, the Office in an earlier appliation, unless:

- (1) The earlier application is properly identified in the information disclosure statement and is relied on for an earlier effective filing date under 35 USC 120; and
- (2) The information disclosure statement submitted in the earlier application complies with paragraphs (a) through (c) of this section."

Therefore please review the parent case for references A - AL.

Applicant further reserves the right to establish the patentability of the claimed invention over any of the listed information should they be applied as references, and/or to prove that some of the cited information may not be prior art, and/or to prove that some of the cited information may not be enabling for the teachings they purport to offer. This statement further should not be construed as the representation that an exhaustive search has been made, or that the information cited herewith is material, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return copies of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to account no. 09-0528.

Date:	1/21/04	
Daile.		

John J. Timar

Attorney for Applicant

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Docket No.: I080 1010.2

#### CERTIFICATE OF MAILING

I hereby certify that this document is being deposited as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 21, 2004,

Diana Ogles



Form PTO-1449

# INFORMATION DISCLOSURE CITATION

Attorney Docket No. I080 1020.2	Serial No. 10/662,072
Applicant: Echauz, e	t al.

(Use several sheets if necessary)					Filing Date 09/12/03 Group							
	in the second			U.S. P	ATENT DOCU	MENTS		Salar Park Communication				
Examiner It			cument nber	Date	Name		Class	Subclass		Filing Date If Appropriate		
	A	5,99	95,868	11/30/99	Dorfmeister, et	t al.	600	5	44			
	В	3,86	63,625	02/04/75	Viglione, et al.		128	2	.1			
·····	С	5,74	43,860	04/28/98	Hively, et al.		600	5	44			
	D	5,85	57,978	01/12/99	Hively et. al.		600	5	44			
	Е	3,83	37,331	09/24/74	Ross		600	0	27			
	F	3,96	57,616	07/06/76	Ross		600	2	27			
	G	3,85	50,161	11/26/74	Liss		600	5	44			
	Н	3,99	93,046	11/23/76	Fernandez, et d	al.	600	5	45			
	I	4,566,464		01/28/86 Piccone, et al.		600	600	545				
	J	4,70	02,254	10/27/87	Zabara		607	4	15			
	•			FOREIG	N PATENT DO	CUMENTS	,					
			cument	Date	Countr	У	Class	Sub	class	Trans	lation	
		Nur	nber							Yes	No	
	K	WC	00/10455	03/02/00 Ir	nternational		A61B 5	(	)4.			
		ΓO	THER DOC	UMENTS (	Including Author, I	Title, Date, Per	tinent Pa	ges, e	etc.)			
		L	lasemidis, e	t al., "Spatiot	emporal Evolution	of Dynamical I	Measures	Prec	edes C	onset of	Mesial	
			L lasemidis, et al., "Spatiotemporal Evolution of Dynamical Measures Precedes Onse Temporal Lobe Seizures," Epilepsia, vol. 35, no. Suppl. 8, pp. 133,1994.									
		M Iasemidis, et al., "Chaos Theory and Epilepsy", The Neuroscientist,										
			pp. 118-126.							-		
			al if citation co	onsidered, when	ther or not citation is not clude copy of this for						hrough	
			NATURE:			CONSIDERED						

Form PTO-1449

Attorney Docket No. **I080 1020.2** 

Serial No. 10/662,072

INFORMATION DISCLOSURE CITATION A

(Use several sheets if necessary)

Applicant: Echauz, et al.

Filing Date 09/12/03 | Group

## U.S. PATENT DOCUMENTS

Examiner Initials,	Item	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,867,164	09/19/89	Zabara	607	45	
	AB	5,025,807	06/21/91	Zabara	607	45	
	AC	5,304,206	04/19/94	Baker,Jr., et al.	607	2	
	AD	5,311,876	05/17/94	Olsen, et al.	600	544	
	AE	5,713,923	02/03/98	Ward, et al.	607	3	
	AF	5,978,702	11/02/99	Ward, et al.	607	3	
	AG	6,016,449	01/18/00	Fischell, et al.	607	45	
	AH	6,061,593	05/09/00	Fischell, et al.	600	544	
	ΑI	6,018,682	01/25/00	Rise	607	45	
	AJ	5,928,272	07/27/99	Adkins, et al.	607	45	

### FOREIGN PATENT DOCUMENTS

Document Date Country Number		Class	Subclass	Translation	
				4	Yes No
				04	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Grassberger, et al. "Characterization of Strange Attractors," Physical Rev. Letters, vol.50, no.5, pp. 346-349.

AL Elger, et al., "Seizure Prediction by Nonlinear Time Series Analysis of Brain Electrical Activity," European Journal of Neuroscience, 1998, vol. 10, pp. 786-789.

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

**EXAMINER'S SIGNATURE:** 

DATE CONSIDERED:

Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE